


U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER: WN/LM/WCM.73.US U.S. APPL. NO. 09/009765 (P) <div style="font-size: 1.5em; font-weight: bold;">10/009765</div>
INTERNATIONAL APPLICATION NO.: PCT/GB00/02215	INTERNATIONAL FILING DATE: 19 JUNE 2000 (19.06.00)	PRIORITY DATE CLAIMED: 17 JUNE 1999 (17.06.99)
TITLE OF INVENTION: SPHEROID PREPARATION		
APPLICANT(S) FOR DO/EO/US: Derek Leigh JONES		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. (see attached copy of PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
- ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Item 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT/PEPA/409), INTERNATIONAL SEARCH REPORT (PCT/ISA/210), APPLICATION DATA SHEET, ABSTRACT

U.S. APPLICATION NO. 10/009765		INTERNATIONAL APPLICATION NO. PCT/GB00/02215		ATTORNEY'S DOCKET NO. WN/LMWCM.73.US	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	890.00
Surcharge of \$130.00 for furnishing the oath or declaration later than _____ months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	34 - 20 =	14	X \$18.00	\$	252.00
Independent claims	10 - 3 =	7	X \$64.00	\$	588.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$280.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	1730.00
Reduction of 1/2 for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 CFR 1.47.				\$	865.00
SUBTOTAL =				\$	865.00
Processing fee of \$130 for furnishing the English translation later than _____ months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	865.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	40.00
TOTAL FEES ENCLOSED =				\$	905.00
				Amount to be refunded:	
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a.	<input checked="" type="checkbox"/>	A check in the amount of \$ 905.00 to cover the above fees is enclosed.			
b.	<input type="checkbox"/>	Please charge my Deposit Account No. 25-0120 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.			
c.	<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120 . A duplicate copy of this sheet is enclosed.			
SEND ALL CORRESPONDENCE TO:					
YOUNG & THOMPSON 745 South 23rd Street 2nd Floor Arlington, VA 22202 (703) 521-2297 facsimile (703) 685-0573		December 17, 2001		By  Benoit Castel Attorney for Applicant Registration No. 35,041	
Customer Number: 000466					

10/009765

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Derek Leigh JONES

Box Non-fee Amendment

Serial No. (unknown)

GROUP

Filed herewith

Examiner

SPHEROID PREPARATION

PRELIMINARY AMENDMENT

Commissioner for Patents

Washington, D.C. 20231

Sir:

Prior to the first Official Action and calculation of the filing fee, please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend claims 3-8, 11, 14-16, 20-21, 23, 26-28, 31-32, 34 as follows:

--3. (Amended) A method according to Claim 1, wherein the heat treatment is performed at a temperature between 65°C and 75°C.

4. (Amended) A method according to Claim 1, wherein the heat treatment is performed for between 30 minutes and 12 hours.

5. (Amended) A method according to Claim 1, wherein the heat treatment is performed at a temperature of 70°C for about five hours.

6. (Amended) A method according to Claim 1, further comprising the step of storing the resultant substance or mixture in aliquots at about -20°C.

7. (Amended) A substance or mixture for use in spheroid preparation formed by the method according to Claim 1.

8. (Amended) A method of spheroid formation comprising contacting in a vessel a cell culture with a substance or mixture formed by the method of claim 1.

11. (Amended) A method according to Claim 8, wherein the cell culture comprises more than one cell type, whereby a hetero-spheroid is formed.

14. (Amended) An elongate spheroid according to Claim 12, which contains 100,000- 200,000 cells per cm length.

15. (Amended) An elongate spheroid according to Claim 12, comprising more than one cell type.

16. (Amended) An elongate hetero-spheroid according to Claim 12, comprising an elongate core of cells of one type with one or more layers of cells of a different type arranged around said core.

20. (Amended) A method according to Claim 18, wherein the tubular member has an internal diameter of about 1mm.

21. (Amended) A method according Claim 18, further comprising the step of stretching the tubular member prior to the incubation.

23. (Amended) The use of a spheroid-forming substance or mixture formed by the method of Claim 1 in anti-cancer therapy.

26. (Amended) The use of a polymeric protein according to Claim 24 for the production of spheroids for tissue culture.

27. (Amended) The use of a polymeric protein according to Claims 24 for the production of spheroids made up of one or more of fibroblasts, smooth muscle cells and bladder cancer cells.

28. (Amended) The use of a polymeric protein according to Claim 24 for the preparation of skin cells selected from the group comprising keratinocytes and fibroblasts, for use in wound healing and/or skin grafting.

31. (Amended) A method according to Claim 29, wherein said incubation is for a period of 24 to 36 hours.

32. (Amended) A method according to Claim 29, wherein said V-shaped section defines an inclined angle in the range of from 20° to 120°.

34. (Amended) A method of spheroid formation comprising contacting in a vessel one or more cell cultures with a polymeric protein according to Claim 24.--

Derek Leigh JONES

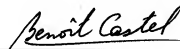
REMARKS

Claims 3-8, 11, 14-16, 20-21, 23, 26-28, 31-32 and 34 were amended to correct multiple dependency. Attached hereto is a marked-up version of the changes made to claims by the current amendment. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE".

Respectfully submitted,

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By



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December 17, 2001

"VERSION WITH MARKINGS TO SHOW CHANGES MADE"

Claims 3-8, 11, 14-16, 20-21, 23, 26-28, 31-32 and 34 have been amended as follows:

3. (Amended) A method according to Claim 1 ~~or 2~~, wherein the heat treatment is performed at a temperature between 65°C and 75°C.

4. (Amended) A method according to ~~any preceding claim~~ Claim 1, wherein the heat treatment is performed for between 30 minutes and 12 hours.

5. (Amended) A method according to ~~any preceding claim~~ Claim 1, wherein the heat treatment is performed at a temperature of 70°C for about five hours.

6. (Amended) A method according to ~~any preceding claim~~ Claim 1, further comprising the step of storing the resultant substance or mixture in aliquots at about -20°C.

7. (Amended) A substance or mixture for use in spheroid preparation formed by the method according to ~~any preceding claim~~ Claim 1.

8. (Amended) A method of spheroid formation comprising contacting in a vessel a cell culture with a substance or mixture formed by the method of ~~any one of claims~~ Claim 1 to 6.

11. (Amended) A method according to ~~any of claims~~ Claim 8 to 10, wherein the cell culture comprises more than one cell type, whereby a hetero-spheroid is formed.

14. (Amended) An elongate spheroid according to Claim 12 ~~or 13~~, which contains 100,000- 200,000 cells per cm length.

15. (Amended) An elongate spheroid according to ~~any of Claims~~ Claim 12 to 14, comprising more than one cell type.

16. (Amended) An elongate hetero-spheroid according to ~~any of Claims~~ Claim 12 to 15, comprising an elongate core of cells of one type with one or more layers of cells of a different type arranged around said core.

20. (Amended) A method according to Claim 18 ~~or 19~~, wherein the tubular member has an internal diameter of about 1mm.

21. (Amended) A method according to ~~any one of claims~~ Claim 18 to 20, further comprising the step of stretching the tubular member prior to the incubation.

23. (Amended) The use of a spheroid-forming substance or mixture formed by the method of ~~any one of claims~~ Claim 1 to 11 in anti-cancer therapy.

26. (Amended) The use of a polymeric protein according to Claim 24 ~~or 25~~ for the production of spheroids for tissue culture.

27. (Amended) The use of a polymeric protein according to Claims 24 ~~or 25~~ for the production of spheroids made up of one or more of fibroblasts, smooth muscle cells and bladder cancer cells.

28. (Amended) The use of a polymeric protein according to Claim 24 ~~or Claim 25~~ for the preparation of skin cells selected from the group comprising keratinocytes and fibroblasts, for use in wound healing and/or skin grafting.

31. (Amended) A method according to Claim 29 ~~or 30~~, wherein said incubation is for a period of 24 to 36 hours.

32. ~~(Amended)~~ A method according to Claim 29 ~~or 30~~, wherein said V-shaped section defines an inclined angle in the range of from 20° to 120°.

34. ~~(Amended)~~ A method of spheroid formation comprising contacting in a vessel one or more cell cultures with a polymeric protein according to Claim 24 ~~or 25~~.

ABSTRACT

A mixture or substance having a spheroid-forming activity is obtained by heat treating fetal calf serum at a temperature and for a period sufficient to impart spheroid-forming activity. Introduced into cell culture, the substance or mixture so obtained causes cells to grow in three-dimensional cultures as opposed to mono-layer. Also disclosed are kits for the production of the mixture or substance and further uses of the mixture of substance.

Spheroid Preparation

This invention relates to a method of producing a substance or mixture having spheroid-forming activity from fetal calf serum and to methods of spheroid formation.

5 Spheroids are three-dimensional cultures of cells which are normally grown in suspension. A number of processes for formation of spheroids have been proposed, for example in US 5624839, but these have been found to be relatively complex. Although the term "spheroid" is often used conventionally to
10 describe an object of approximately spherical shape, the term is used more broadly herein to describe any three-dimensional cell structure in which the cells are grown in suspension as opposed to in a mono-layer on a substrate. Thus the term spheroid embraces not only approximately
15 spherical clusters of cells, but also string-like structures or lattice or net-like structures in which the cells form a three dimensional structure not of mono-layer form.

Spheroids in general are used in tissue culture research, for example.

20 According to the first aspect of the present invention, there is provided a method of producing a substance or mixture for use in spheroid formation, the method comprising heat treatment of fetal calf serum for a time and at a temperature sufficient to impart spheroid-forming activity
25 to the resultant substance or mixture.

The heat treatment is preferably performed at a temperature between 60°C and 80°C, even more preferably

between 65°C and 75°C. However, it is envisaged that temperatures outside these ranges could be used, particularly below these ranges, although in such a case the incubation time would be longer. The heat treatment, for example, may be performed for between 30 minutes and 12 hours. It has been found that, for many batches of FCS, the optimum conditions for producing the substance or mixture are 70°C for 5 hours. However, different amounts of the substance or mixture may be produced at different temperatures and incubation times, with generally more being produced at the higher temperature and longer incubation time. Nevertheless, higher temperatures may give rise to too much coagulation of proteins in the serum, thus resulting in a loss of activity in the substance or mixture.

15 The method may further comprise the step of storing the resultant substance or mixture in aliquots at about -20°C.

According to a second aspect of the present invention, there is provided a substance or mixture for use in spheroid preparation formed by the method described above.

20 According to a further aspect of the present invention, there is provided a method of forming a spheroid comprising contacting in a vessel a cell culture with a substance or mixture formed by the method described above.

25 One or more cell types may be used, thus enabling the method to be used in the formation of heterospheroids in addition to homospheroids. Indeed, heterospheroids may be easily formed by adding several cell types in the required ratio.

The method of spheroid formation typically requires an overnight incubation period.

Spheroid size can be regulated by initial cell number, time of incubation and shape of culture vessel. Generally, small and medium sized spheroids (up to 100 micrometres), are formed after 24 hours and their size is increased thereafter mainly by fusion of spheroids rather than by cell growth.

The substance or mixture for use in spheroid preparation may, in one embodiment be coated on to the vessel, which may be formed of plastic. Alternatively, spheroid preparation may be carried out on uncoated vessels and, in such a case, a 5 to 10% solution of the substance or mixture for use in spheroid preparation may be added to a medium of the cell culture.

According to a further aspect of the present invention, there is provided an elongate spheroid comprising a plurality of cells arranged linearly.

The elongate spheroids are known as "string spheroids". Typically, the elongate spheroid may have a length of at least about 1cm, or preferably about 2cm. Typically, it has been found that elongate spheroids may be of the order of 0.2-0.5mm in diameter and may typically be 25cm long, containing 100,000 - 150,000 cells per cm length. However, it should be noted that elongate spheroids may be of 100cm in length or even more.

Again, the cells may be of one or more types, thus producing two homo- or hetero-string spheroids. In one

example, MCF7 and breast fibroblast cell lines have been prepared. One or more layers may be arranged around an inner elongate arrangement of cells. ECV cells have additionally been used to provide three cell layers in a triple string spheroid.

According to a further aspect of the present invention, there is provided a method of forming an elongate spheroid comprising forming a suspension by contacting a cell culture with a spheroid-forming substance or mixture formed by the method described above at the required concentration, placing the suspension in a tubular member, incubating the contents of the tubular member, and removing the elongate spheroid. Typically, the required concentration is in the range of 6 to 10 million cells per millilitre. In one embodiment, the tubular member may have an internal diameter of about 1mm. Typically, the tubular member may be in the form of a "butterfly" having a length of about 25cm and an outer diameter of 2mm, but any appropriate tubing, for example one of plastic and of suitable dimensions, could be used.

The method may further comprise the step of stretching the tubular member prior to incubation, and preferably holding the tube in a horizontal position.

According to a further aspect of the present invention, there is provided a kit for forming elongate spheroids, comprising a substance or mixture for use in spheroid formation formed by the method described above, and a culture vessel. The culture vessel may be tubular or of one

or more elongate components side by side or in a grid or lattice formation and having a v-sectioned base.

The kit may further comprise the cells which it is desired to form into an elongate spheroid, means for placing
5 a suspension into the tubular member, means for removing the elongate spheroid from the tubular member and/or a stand for arranging the tubular member horizontally during incubation.

Many uses for the substance or mixture for use in spheroid formation according to this invention can be
10 envisaged and examples include the following:

(i) It could be easily prepared as a commercially available product, either in its crude form or a purified form, for the production of homo- or heterospheroids in tissue culture research.

15 (ii) It could be used for the preparation of string spheroids made of different cell types such as fibroblasts, smooth muscle cells, and endothelial cells to make in-vitro veins.

(iii) It could be used for the preparation of
20 keratinocyte/fibroblast and other skin cell mini-spheroids that could be attached to an artificial support for use as a sort of skin grafting. This could produce micro-islands of skin cells on the surface of open large area wounds. The closeness of the spheroids could be controlled to give
25 optimum outgrowth and link up of skin islands, whilst initially allowing wound exuate etc. to pass between the islands.

(iv) It could form the basis for another angle on anti-

cancer therapy. When tumour cells are cultured as spheroids with the substance or mixture of the invention, their growth is slowed right down, and the cells stick together much more strongly (hence spheroid formation). It could therefore
5 form the basis for an anti-metastatic factor and/or an agent to slow down or even stop tumour cell growth.

Thus, according to a further aspect of the present invention, there is provided the use of a substance or mixture for use in spheroid formation formed by the method
10 described above in anti-cancer therapy.

The invention also extends to a polymer material comprising a polymer of one or more proteins contained in fetal calf serum, having a molecular weight of at least 2MDa and a spheroid forming activity.

15 In another aspect this invention provides a polymeric protein comprising a polymer of one or more proteins contained in fetal calf serum, having a molecular weight in excess of 2MDa and having spheroid forming activity.

In another aspect this invention provides a polymeric
20 protein obtainable by heat treatment of fetal calf serum, whereby said polymeric protein is capable of spheroid forming activity.

In another aspect this invention provides the use of a polymeric protein for the preparation of skin cells selected
25 from the group comprising keratinocytes and fibroblasts, for use in wound healing and/or skin grafting.

In another aspect this invention provides a method of elongate spheroid formation, which comprises providing an

elongate culture vessel having a generally V-shaped lower cross-section, introducing into said culture vessel a cell culture and a spheroid-forming substance or mixture, incubating the contents of said vessel and removing the elongate spheroid.

For convenience, in the description below, "Spfadel" is the name given to the spheroid forming substance or mixture of the present invention produced by heat treatment of commercially available fetal calf serum (FCS).

Although the invention has been defined above, it is to be understood that it includes any inventive combination of the features set out above or in the following description.

The invention may be performed in various ways, and specific examples will now be described, by way of example, with reference to the accompanying drawings, in which:

Figure 1 is a diagrammatic view, partially in cross section, of a triple string spheroid formed in accordance with the invention;

Figure 2 is a schematic perspective view of a culture vessel comprising a plurality of v-channels for string spheroid preparation;

Figure 3 is a cross-section of one of the v-channels showing the sedimented cells;

Figure 4 is a schematic top plan view of a culture vessel comprising a grid of v-channels, for spheroid preparation, and

Figure 5 is a schematic view of a grid string spheroid

produced in the vessel of Figure 4.

Example 1 - Preparation of "Spedefadel"

Heat treatment of Fetal Calf Serum (FCS) in a waterbath at a temperature of between 65 and 75°C for 30mins to 7 hours gives rise to the substance or mixture known as 'Spedefadel'. Different amounts of 'Spedefadel' are produced at different temperatures and incubation times, with more 'Spedefadel' being produced at the higher temperature and longer incubation time. The optimum conditions for the production of 'Spedefadel' are 70°C for 5 hours. Higher temperatures, that is 75°C or above, give rise to too much coagulation of proteins in the serum, resulting in loss of 'Spedefadel' activity.

No spheroid forming activity was found in FCS heat treated at 60°C for up to 4 hours, but there was 'Spedefadel' activity after 7 hours incubation at this temperature.

It should be noted that the production and amount of 'Spedefadel' may vary according to different batches of FCS. In this instance the temperature and length of treatment may be adjusted and the spheroid forming activity of the 'Spedefadel' tested.

'Spedefadel' is typically prepared by heating FCS at 70°C for 5 hours and storing in aliquots at -20°C until required.

Example 2 - Spheroid Preparation with Spefadel

Spheroids can be prepared from different cell lines in ordinary sterile tissue culture flasks/petri dishes or sterile non-tissue culture flasks/petri dishes. Spheroids can be prepared in flasks/dishes that have been pre-coated with 'Spefadel' for 24hrs or longer and then washed to remove any proteins etc. that have not adsorbed to the surface. Spheroids can be prepared in flasks/dishes in the presence of 1% to 10% 'Spefadel' in any standard tissue culture medium e.g. RPMI1640, DMEM, DMEM/F12 etc. Spheroids are only formed by cells in suspension and not by cells already attached to plastic tissue culture vessels. If 10% 'Spefadel' medium is added to subconfluent monolayers of all cell types tested, they continue to grow as monolayers and grow at almost the same rate as cells cultured with FCS supplemented medium. 'Spefadel' under these conditions is completely non-toxic to the cells.

Spheroid Preparation on Coated Plastic Vessels

The vessel to be used for the preparation of spheroids can be of virtually any type of non-toxic plastic suitable for cell culture, but must be sterile. Typical vessels used successfully have included Nunc/Sterilin 25cm² tissue culture flasks, Sterilin 90mm bacteriological plates, Falcon 25mm and 50mm tissue culture plates, and 96, 24 and 6 well Nunc microtest plates.

'Spefadel' at about 1ml/15sq cm of plastic surface was added and spread evenly over its surface. The vessel was then placed in a 37°C incubator for between 24 and 72 hours.

After the required time the 'Spefadel' was removed and the surface of the vessel was given 3x 10min washes with 5ml aliquots of serum free medium (such as DMEM/F12) before adding about 4ml of the same medium containing 1mg/ml Bovine Serum Albumin (BSA), penicillin (100units/ml), streptomycin (100µg/ml) and fungizone (2µg/ml) (these three antibiotics together at these concentrations are known as PSF).

Breast tumour cell lines such as MCF7, MDA231 and BT474, human fibroblasts from breast and skin and a bladder cancer cell line such as ECV all available from ECACC or ATCC have all been used to prepare spheroids on coated plastic vessels. Basically cells were cultured as monolayers in a standard fashion in 25cm² Nunc tissue culture flasks with DMEM/F12 containing 10% FCS and PSF in a 37°C incubator with 5% CO₂, until almost confluent when they were made into a cell suspension with trypsin/EDTA (0.05% porcine trypsin and 0.05% EDTA in phosphate buffered saline). Cells were made up in complete 10% FCS medium and counted before centrifugation at 400G and resuspension at 1 million cells/ml in SFM with PSF and BSA. For homospheroids about 1ml of the cell suspension was added to each 25cm² flask and left in the CO₂ incubator for 24 hrs, after which time spheroids were formed as clusters of 20 to hundreds or even thousands of cells. Initially small spheroids were formed by attachment of cells to each other and then larger spheroids were formed by the fusion of small spheroids. Generally speaking spheroid size can be modulated by the number of cells used and the length of time they are left together. Increasing either incubation time or cell number

usually gives an increase in the size of spheroids.

Heterospheroids with different ratios of cells can easily be prepared. For example the addition of 250 000 fibroblast cells to 1 million MCF7 cells gives rise to spheroids with 4 times as many MCF7 cells as fibroblasts. The fibroblasts always end up at the centre of the heterospheroid surrounded by MCF7 cells, regardless of cell number ratios or even if the fibroblasts are added to MCF7 cells that have already formed spheroids.

10 Spheroid Preparation on Uncoated Plastic Vessels

The culture vessels and basic medium to be used for the preparation of spheroids on uncoated plastic are exactly the same as those used for the coated method. The main differences in the method is the addition of 5 to 10% 'Spefadel' to the basic culture medium instead of 1mg/ml BSA. All other conditions used for the preparation of spheroids on coated plastic apply to the preparation of spheroids on uncoated plastic.

Example 3 - String Spheroid Preparation

20 String spheroids are made from cells prepared in suspension in 10% 'Spefadel', similar to those for spheroids on uncoated plastic. In order for cells to form a complete string they have to be seeded at a certain concentration so that there are enough cells present to form a complete string but not too many cells present so as to use up all the nutrients and give rise to excessive cell death.

Actual cell numbers used for string spheroids also

depend on the cell type used and some cells such as fibroblasts only form short lengths of string spheroid, probably due to weaker connections between the cells, when compared to cells of epithelial type such as MCF7 or BT474
5 tumour cell lines.

Cells are prepared in suspension in 10% 'Spefadel' medium as previously described. For most cell types the optimum cell number for string spheroid preparation is between 6 and 10 million cells/ml. For MCF7 and BT474 cells
10 the optimum is about 8 million cells/ml. Once the cells are prepared in suspension at the required concentration they are ready to be placed in a disposable sterile string spheroid apparatus. The apparatus currently used is very simple and consists of a sterile 21 gauge "butterfly"
15 (Registered Trade Mark) with a tube length of about 25cms of internal/external diameter about 1mm and 2mm respectively. The "butterfly" is a hollow needle connected to a luer syringe connector by a hollow plastic tube. Other sizes may be used.

20 The method for string spheroid preparation of MCF7 cells will now be described.

Prepare a suspension of 8 million MCF7 cells/ml in 10% 'Spefadel' as already described. Take a 1ml disposable syringe and suck up 0.65ml of 10% 'Spefadel' medium and
25 then, taking care not to get any air bubbles, suck up slowly 0.35ml of the MCF7 cell suspension, whilst holding the syringe vertical, so that it forms a separate layer in the syringe. Connect the syringe to the butterfly and slowly press the syringe whilst still holding vertical until the

suspension reaches the end of the plastic butterfly tube (care must be taken to avoid the introduction of air bubbles, as these will cause breaks in the string spheroid) which will be about 0.35ml in volume. Immediately slightly stretch the tubing over a horizontal holding frame so that the tube is held in a straight line in a horizontal position. Several string spheroids are usually made at any time and the current holding frame can accommodate up to 6 tubes. The whole process is done aseptically in a laminar flow hood to minimise contamination by microorganisms. The frame and tubes are now placed in a 5% CO₂ incubator and left overnight (18 hours). After this time the tubes are removed singly and cleaned with a steriswab before cutting the plastic tube aseptically close to the needle end of the butterfly. The tube contents are then ejected slowly (by gently pressing the syringe to push the remaining 0.7ml of medium through the tube) into 10ml of 1% 'Spafadel' medium in a 90mm sterile plastic plate. The result is a 'string spheroid' of MCF7 cells about 20cm long containing about 150,000 cells per cm length.

Hetero-string spheroids containing 2 and 3 cell types have also been prepared using the same method. Heterospheroids containing MCF7 and breast fibroblast cell lines have been prepared using cell suspensions containing 6 million MCF7 and 3 million fibroblasts per ml of medium. In this hetero-string spheroid the fibroblasts are always at the centre surrounded by MCF7 cells. In the triple string spheroid ECV cells were also present in the cell suspension and these formed a layer of cells around the MCF7 cells to

give three cell layers as shown in Figure 1.

Referring now to Figures 2 and 3, an alternative method of preparation of string spheroids will now be described.

Example 4

5 In this method string spheroids are prepared using cells suspended in 10% Spefadel in DMEM/F12 medium. It requires the use of a special shaped culture vessel 20 made out of polystyrene, polycarbonate or any material compatible with cell culture and which has a v-shaped corrugated
10 profile as seen in Figures 2 and 3. The dimensions for the 'V' profile used may vary from between 5mm and 15mm wide (w) and 5mm and 15mm tall (h). The length of the 'V' channel used may typically be up to 15cm but longer lengths can be used. Just one V-profile may be used, but usually several
15 'V' profiles are joined to each other giving rise to a vessel containing a series of parallel 'V' channels. The ends of the 'V' channel are blocked off by walls 22.

In this method a cell suspension in 10% Spefadel DMEM/F12 medium is placed in the 'V' channel which is then
20 placed on a level surface in an incubator. The cells fall through the liquid due to gravity, and because the sides of the channel are sloped nearly all the cells fall to the bottom groove of the channel to give a continuous length of sedimented cells as seen in Figure 3.

25 After 24 to 36 hours the cells attach to each other to give a string spheroid which can be gently removed from the 'V' channel or left in situ where the medium can be carefully changed when required. Homo and hetero-string spheroids

can be prepared by this method using one cell type or mixed cell type cell suspension.

Cell concentrations used for this method vary depending on the cell type and the volume of liquid placed in the 'V' channel. Generally cell concentrations are adjusted so that when the cells are sedimented there are about 100000 to 200000 cells for each centimetre in length of the channel. Thus a 'V' channel of 15cm length containing 5ml of medium might typically require a cell concentration of 0.3 to 0.6 million cells/ml.

Example 5

This is another variation of the 'V' channel method. Referring now to Figures 4 and 5, the main modification is the 'V' channel vessel 30 in which the cells are sedimented. It consists of two sets of 'V' channels (32, 34) at right angles to each other so that a grid of interconnected 'V' channels is formed. Dimension of the 'V' channel cross section, cell suspensions and incubation conditions used may be the same as those for the linear 'V' channel method of Example 4. When cells are placed in the 'V' channel grid they sediment and form string spheroids at right angles to each other which are joined where their paths cross. This results in the formation of a 'Grid string spheroid' (Figure 5), the dimensions of which depend on the spacing between the 'V' channels in the vessel.

A possible advantage of the 'V' channel methods of Examples 4 and 5 over the tube method for string spheroid

preparation is that after string spheroid formation by a first cell type one could remove the medium and add a cell suspension of a second cell type. This would allow the sequential addition of different cell types giving rise to
5 another method for hetero-string spheroid preparation.

List of Abbreviations

abbreviation	description
BSA	Bovine serum albumin
BT474	Breast tumour cell line
CO2	Carbon dioxide
DMEM	Dulbeccos Minimal Essential Medium
DMEM/F12	Dulbeccos Minimal Essential Medium/Hams F12 tissue culture medium
ECV	Bladder Cancer cell line
EDTA	Ethylenediaminetetraacetic acid
FCS	Fetal Calf Serum
MCF7	Breast tumour cell line
PFS	penicillin (100u/ml), fungizone (2µg/ml) and streptomycin (100µg/ml)
RPMI1640	Roswell Park Memorial Institute 1640 tissue culture medium

Claims

1. A method of producing a substance or mixture for use in spheroid formation, the method comprising heat treatment of Fetal Calf Serum for a time and at a temperature sufficient to impart spheroid-forming activity to the resultant substance or mixture.
2. A method according to Claim 1, wherein the heat treatment is performed at a temperature between 60°C and 80°C.
3. A method according to Claim 1 or 2, wherein the heat treatment is performed at a temperature between 65°C and 75°C.
4. A method according to any preceding claim, wherein the heat treatment is performed for between 30 minutes and 12 hours.
5. A method according to any preceding claim, wherein the heat treatment is performed at a temperature of 70°C for about five hours.
6. A method according to any preceding claim, further comprising the step of storing the resultant substance or mixture in aliquots at about -20°C.
7. A substance or mixture for use in spheroid preparation formed by the method according to any preceding claim.
8. A method of spheroid formation comprising contacting in a vessel a cell culture with a substance or mixture formed by the method of any one of claims 1 to 6.
9. A method according to Claim 8, wherein the spheroid-forming substance or mixture is coated on the vessel.
10. A method according to Claim 8, wherein a 5 to 10%

solution of the spheroid-forming substance or mixture is added to a medium of the cell culture.

11. A method according to any of Claims 8 to 10, wherein the cell culture comprises more than one cell type, whereby a hetero-spheroid is formed.
12. An elongate spheroid comprising a plurality of cells arranged linearly.
13. An elongate spheroid according to Claim 12 which has a length of at least 1cm.
14. An elongate spheroid according to Claim 12 or 13, which contains 100,000- 200,000 cells per cm length.
15. An elongate spheroid according to any of Claims 12 to 14, comprising more than one cell type.
16. An elongate hetero-spheroid according to any of Claims 12 to 15, comprising an elongate core of cells of one type with one or more layers of cells of a different type arranged around said core.
17. An elongate hetero-spheroid comprising MCF7 and breast fibroblast cells.
18. A method of forming an elongate spheroid comprising form a suspension by contacting a cell culture with a spheroid-forming substance or mixture at the required concentration, placing the suspension in a tubular member, incubating the contents of the tubular member, and removing the elongate spheroid.
19. A method according to Claim 18, wherein the required concentration is in the range of 6 to 10 million cells/ml.
20. A method according to Claim 18 or 19, wherein the tubular member has an internal diameter of about 1mm.

21. A method according to any one of claims 18 to 20, further comprising the step of stretching the tubular member prior to the incubation.

22. A kit for forming elongate spheroids comprising a
5 spheroid forming substance or mixture and a tubular member.

23. The use of a spheroid-forming substance or mixture formed by the method of any one of claims 1 to 11 in anti-cancer therapy.

24. A polymeric protein comprising a polymer of one or more
10 proteins contained in fetal calf serum, having a molecular weight in excess of 2MDa and having spheroid forming activity.

25. A polymeric protein obtainable by heat treatment of fetal calf serum, whereby said polymeric protein is capable
15 of spheroid forming activity.

26. The use of a polymeric protein according to Claim 24 or 25 for the production of spheroids for tissue culture.

27. The use of a polymeric protein according to Claims 24 or 25 for the production of spheroids made up of one or more
20 of fibroblasts, smooth muscle cells and bladder cancer cells.

28. The use of a polymeric protein according to Claim 24 or Claim 25 for the preparation of skin cells selected from the group comprising keratinocytes and fibroblasts, for use in
25 wound healing and/or skin grafting.

29. A method of elongate spheroid formation, which comprises providing an elongate culture vessel having a generally V-shaped lower cross-section, introducing into said culture vessel a cell culture and a spheroid-forming

substance or mixture, incubating the contents of said vessel and removing the elongate spheroid.

30. A method of producing a spheroid making up a grid structure, which comprises providing a corresponding culture vessel defining a grid in which the grid elements are of V-section, and introducing into said culture vessel a cell culture and a spheroid-forming substance or mixture, incubating the contents of said vessel and removing a spheroid of grid-like structure.

31. A method according to Claim 29 or 30, wherein said incubation is for a period of 24 to 36 hours.

32. A method according to Claim 29 or 30, wherein said V-shaped section defines an inclined angle in the range of from 20° to 120°.

33. A kit for forming elongate spheroids or a grid-like structure thereof, comprising a culture vessel having an elongate portion with a generally V-shaped lower cross-section, and a spheroid-forming substance or mixture.

34. A method of spheroid formation comprising contacting in a vessel one or more cell cultures with a polymeric protein according to Claim 24 or 25.

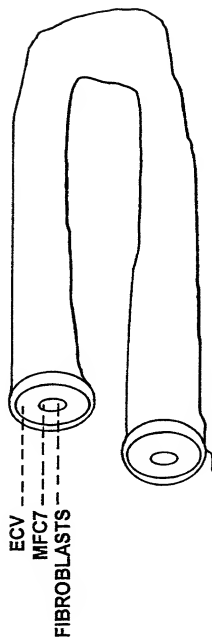
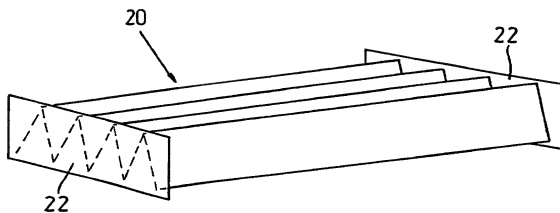
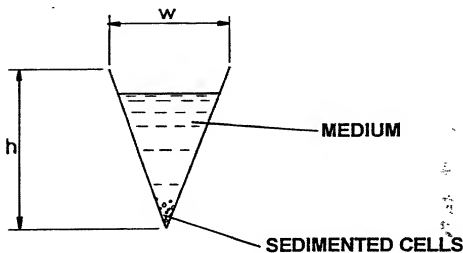
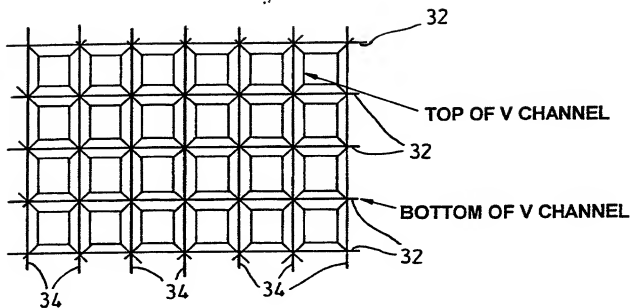
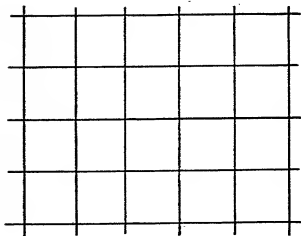


Fig. 1

2/3

**Fig. 2****Fig. 3**

3/3

*Fig. 4*

PARALLEL JOINED STRING SPHEROIDS
IN THE FORM OF A GRID (FORMED IN A
GRID 'V' CHANNEL VESSEL WHERE THE
BOTTOM OF THE 'V' CHANNELS ARE
SPACED 1cm APART)

Fig. 5

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

the specification of which: *(check one)*

REGULAR OR DESIGN APPLICATION

☐ is attached hereto.

☐ was filed on _____ as application Serial No. _____
and was amended on _____ (if applicable).

PCT FILED APPLICATION ENTERING NATIONAL STAGE

☒ was described and claimed in International application No.
PCT/GB00/02215 filed on 19th June 2000

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

PRIORITY CLAIM

I hereby claim foreign priority benefits under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)

Country	Application Number	Date of Filing (day, month, year)	Priority Claimed
Great Britain	9913979.2	17 th June 1999	Yes

(Complete this part only if this is a continuing application.)

I hereby claim the benefit under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)

(Filing Date)

(Status—patented, pending, abandoned)

POWER OF ATTORNEY

The undersigned hereby authorizes the U.S. attorney or agent named herein to accept and follow instructions from **WYNNE-JONES, LAINE & JAMES** as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney or agent and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney or agent named herein will be so notified by the undersigned.

As a named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith : **Robert J. PATCH, Reg. No. 17,355, Andrew J. PATCH, Reg. No. 32,925, Robert F. HARGEST, Reg. No. 25,590, Benoit CASTEL, Reg. No. 35,041, Eric JENSEN, Reg. No. 37,855, and Thomas W. PERKINS, Reg. No. 33,027, c/o YOUNG & THOMPSON, Second Floor, 745 South 23rd Street, Arlington, Virginia 22202. Address all telephone calls to Young & Thompson at 703/521-2297.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: ^{1-5D} **Derek Leigh JONES**
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